



Patent  
Attorney Docket: 302,670-7  
(prev 265/072)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Mark M. Wang et al.**

Serial No.: 09/993,377

Filed: November 14, 2001

For: Methods and Apparatus for  
Generating and Utilizing a Moving Optical  
Gradient

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

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OCT 30 2002  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98,  
information relating to the above-identified application is hereby disclosed. The  
accompanying Form PTO 1449 provides a listing of documents that may be relevant to the  
subject application.

It is requested that the Examiner fully consider the art cited in the accompanying  
Form 1449, initial the left-most column of the form adjacent each cited reference, and  
return a copy for Applicants' records. It is further requested that the art be cited on the  
cover of any patent issuing from the subject application.

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit  
IR1:1035597.1

10/21/02

Denise Doss

Name of Person Mailing Paper

Signature of Person Mailing Paper

In accordance with §1.97(b), this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits of the above-identified application, and therefore no fee is required. If a first Office Action has been mailed, then please enter this Information Disclosure Statement and charge Deposit Account No. 500639 for any necessary fees.

Applicant notes that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. A copy of the foreign search report is attached hereto as Exhibit A. Copies of each of the references listed on the attached Form PTO 1449 are enclosed herewith.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any

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of the listed documents should they be applied there—against as references. Please charge  
any deficiency or credit any overpayment to Deposit Account No. 500639.

Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: \_\_\_\_\_

10/21/02

By: \_\_\_\_\_

*Michael S. Davidson*

Michael S. Davidson

Reg. No. 43,577

Attorneys for Applicant

MSD/dnd



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PATENT TRADEMARK OFFICE

O'Melveny & Myers LLP  
114 Pacifica, Suite 100  
Irvine, CA 92618-3315  
(949) 737-2900

FORM PTO-1449		ATTY. DOCKET 302,670-7 (prev 265,672)	SERIAL NO. 09/993,377
<b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)		APPLICANT: Mark M. Wang et al.	
		FILING DATE: November 14, 2001	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,950,071	09/07/99	Hammond et al.	438	115	11/17/95
	AB	6,280,960	08/28/01	Carr	435	7.2	06/01/98

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
AC	Mason, T.G. et al., Optical Measurements of Frequency-Dependent Linear Viscoelastic Moduli of Complex Fluids, Physical Review Letters, Vol. 74, No. 7, February 1995, pp 1250-53	

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IR1:1035598.1 EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	